

**DECLARATION FOR USA PATENT APPLICATION**

(including Design and National Stage PCT)

Attorney's Docket ID: \_\_\_\_\_

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below adjacent to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought

on the invention entitled: IDENTIFICATION OF LIGANDS FOR A RECEPTOR CAPABLE OF INTERNALIZING

the specification of which:

\_\_\_\_\_ is attached hereto.

☒ (or) was filed on JANUARY 19, 2001 as U.S. Application No. or PCT International Application No. PCT/FR00/00113

and (if applicable) was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, where priority is not claimed, any foreign application for patent or inventor's certificate, or any PCT International application, having a filing date before that of the application on which priority is claimed. ( ADDITIONAL APPLICATIONS IDENTIFIED ON ATTACHED SHEET )

Prior Foreign Application No.

Country

Day/Month/Year Filed

Priority Not Claimed

9900588FRANCE20/01/99YESI hereby claim the benefit under 35 U.S.C. 120 of any U.S. application(s), or 365(c) of any PCT application designating the U.S., listed below; and insofar as the subject matter of each claim of this application is not disclosed in the prior U.S. or PCT application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT filing date of this application. ( ADDITIONAL APPLICATIONS IDENTIFIED ON ATTACHED SHEET )

U.S. or PCT Parent Application No.

Parent Filing Date (Day/Month/Year)

Parent Patent No. (if applicable)

PCT/FR00/0011319/01/00PENDINGAs a named inventor, I hereby appoint the registered practitioners of **LARSON & TAYLOR, PLC** associated with Customer Number 000881 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. Direct all correspondence to that Customer Number.

Direct all telephone calls to

at TEL (703) 739-4900 (Fax: 703-739-9577) e-mail: \_\_\_\_\_

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1000 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SOLE OR FIRST INVENTOR		Citizenship
Given Name (first and Middle (if any))	Hubert	FRENCH
Family Name or Surname	VAUDRY	
Full Mailing Address	36 route d'Epouville - 76133 MANEGLISE - FRANCE	
Residence - City, State/Country (if different from PO address)	The same as above	
SIGN AND DATE HERE	Inventor's Signature <u>H. Vaudry</u>	Date <u>September 6, 2001</u>
SECOND JOINT INVENTOR (if any)		Citizenship
Given Name (first and Middle (if any))	Nicolas	FRENCH
Family Name or Surname	CHARTREL	
Full Mailing Address	17 route de Quevillon - 76113 ST PIERRE DE MANNEVILLE - FRANCE	
Residence - City, State/Country (if different from PO address)	The same as above	
SIGN AND DATE HERE	Inventor's Signature <u>N. Chartrel</u>	Date <u>06 September 2001</u>
THIRD JOINT INVENTOR (if any)		Citizenship
Given Name (first and Middle (if any))	Alain	CANADIAN
Family Name or Surname	BEAUDET	
Full Mailing Address	39 Promenade Surrey - MONT-ROYAL - QUEBEC CANADA H3P 1B2	
Residence - City, State/Country (if different from PO address)	The same as above	
SIGN AND DATE HERE	Inventor's Signature <u>A. B.</u>	Date <u>28 August 2001</u>
FOURTH JOINT INVENTOR (if any)		Citizenship
Given Name (first and Middle (if any))	LENKEI	HUNGARIAN
Family Name or Surname	Zsolt	
Full Mailing Address	7, route de Choiseul, 78460 Chevreuse - FRANCE	
Residence - City, State/Country (if different from PO address)	The same as above	
SIGN AND DATE HERE	Inventor's Signature <u>Zsolt Lenkei</u>	Date <u>13 September 2001</u>

**DECLARATION FOR USA PATENT APPLICATION**

(including Design and National Stage PCT)

**ADDITIONAL INFORMATION SHEET**  
(use as required)

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States PROVISIONAL application(s) listed below.

U.S. Provisional Application No.

Parent Filing Date (Day/Month/Year)

Additional Prior Foreign Application(s):

Prior Foreign Application No.

Country

Day/Month/Year Filed

Priority Not Claimed

Additional 35 U.S.C. § 120 application(s):

U.S. or PCT Parent Application No.

Parent Filing Date (Day/Month/Year)

Parent Patent No. (if applicable)

PCT/FR00/00113

19/01/00

PENDING

Additional Joint Inventor(s):

<b>FIFTH JOINT INVENTOR</b> (if any)		Citizenship
Given Name (first and Middle (if any)) Catherine		FRENCH
Full Mailing Address 9 avenue de la Promenade - 91440 BURES/YVETTE - FRANCE		Family Name or Surname LLORENS-CORTES
Residence - City, State/Country (if different from PO address) The same as above		
SIGN AND DATE HERE Inventor's Signature <i>Le 23 juillet 2001 C. Llorens Cortes</i>		Date <i>le 23/7/01</i>
<b>SIXTH JOINT INVENTOR</b> (if any)		Citizenship
Given Name (first and Middle (if any))		Family Name or Surname
Full Mailing Address		
Residence - City, State/Country (if different from PO address)		
SIGN AND DATE HERE Inventor's Signature		Date
<b>SEVENTH JOINT INVENTOR</b> (if any)		Citizenship
Given Name (first and Middle (if any))		Family Name or Surname
Full Mailing Address		
Residence - City, State/Country (if different from PO address)		
SIGN AND DATE HERE Inventor's Signature		Date
<b>EIGHTH JOINT INVENTOR</b> (if any)		Citizenship
Given Name (first and Middle (if any))		Family Name or Surname
Full Mailing Address		
Residence - City, State/Country (if different from PO address)		
SIGN AND DATE HERE Inventor's Signature		Date

RECORDATION FORM COVER SHEET  
**PATENTS ONLY**

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To The Honorable Commissioner of Patents and Trademarks:  
Please record the attached original documents(s) or copy(ies) thereof.

Docket No.: P07302US00/BAS

1. Name of conveying party(ies)

- |                             |                     |
|-----------------------------|---------------------|
| 1. Hubert VAUDRY            | 2. Nicolas CHARTREL |
| 3. Alain BEAUDET            | 4. Zsolt LENKEI     |
| 5. Catherine LLORENS-CORTES |                     |

2. Name and address of receiving party(ies):

Name: INSTITUT NATIONAL DE LA SANTE ET DE LA RECHERCHE MEDICALE (INSERM)  
Street Address: 101 Rue de Tolbiac,  
City + Address: 75013 Paris, FRANCE  
Name: Universite Mc Gill  
Street Address: 3550, rue University  
City + Address: Montreal, Quebec H3A 2A7 CANADA

3. Nature of conveyance:

☒ Assignment                      ☐ Merger                      ☐ Security Agreement  
☐ Change of Name                      ☐ Other:

Execution Date: **6 September 2001**

4. Application number(s) or patent number(s)

..... This document is filed together with a new application whose execution date is:

A. Patent Application No.(s)	B. Patent No.(s)
09/889,509	

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: B. Aaron Schulman                      Tel.: 703-739-4900  
LARSON & TAYLOR, PLC • 1199 North Fairfax St. • Suite 900 • Alexandria, VA 22314-1437

6. Total number of applications and patents involved:

#1

7. Total fee (37 CFR 3.41)

☒ Enclosed

\$40.00

(8. Any insufficiency in fee is authorized to be charged to Deposit Account No. 12-0555.)

DO NOT USE THIS SPACE

9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

B. Aaron Schulman  
Name of person signing

  
Signature

September 19, 2001  
Date

Total number of pages including cover sheet, attachments and documents: **3**

ASSIGNMENT

In consideration of the sum of One dollar (US\$ 1.00) in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned,

- 1/ VAUDRY Hubert, a French citizen, residing at:  
36, route d'Epouville - 76133 MANEGLISE - FRANCE
- 2/ CHARTREL Nicolas, a French citizen, residing at:  
17, route de Quévillon - 76113 SAINT PIERRE DE MANNEVILLE - FRANCE
- 3/ BEAUDET Alain, a Canadian citizen, residing at:  
39, promenade Surrey - MONT-ROYAL - QUEBEC CANADA H3P 1B2
- 4/ LENKEI Zsolt, a Hungarian citizen, residing at:  
*7, route de Choiseul, 76460 Chevreuse* FRANCE
- 5/ LLORENS-CORTES Catherine, a French citizen, residing at:  
9, avenue de la Promenade - 91440 BURES SUR YVETTE - FRANCE

hereby sell, assign and transfer to:

1/ INSTITUT NATIONAL DE LA SANTE ET DE LA RECHERCHE MEDICALE (INSERM)  
101 Rue de Tolbiac, 75013 PARIS, FRANCE

2/ Université Mc Gill  
3550, rue University Montréal Québec H3A 2A7 - CANADA

(hereinafter called the Assignee) the entire right, title and interest in and to any and all improvements which are disclosed in the application for United States Letters Patent entitled

IDENTIFICATION OF LIGANDS FOR A RECEPTOR CAPABLE OF INTERNALIZING

which application was filed by the undersigned on 19 January 2000 as PCT application No. PCT/FR0000113, including any and all United States Letters Patent which may be granted therefor and any and all extensions, divisions, reissues, substitutes, renewals or continuations thereof, and the right to all benefits under the International Convention for the Protection of Industrial property.

It is hereby authorized and requested that the Commissioner of Patents and Trademarks issue any and all said Letters Patent, when granted, to said Assignee.

Further, it is agreed that, when requested, without charge to but at the expense of said Assignee, the undersigned will execute all divisional, continuing, substitute, renewal, and reissue patent applications; execute all rightful other papers, and generally do everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection.

Signed at Paris

this 6th day of SEPTEMBER 2001

Inventor(s)

VAUDRY Hubert,

CHARTREL Nicolas,

BEAUDET Alain,

*H. Vaudry*

*N. Chartrel*

*Alain Baudet*

LENKEI Zsolt,

LLORENS-CORTES Catherine

*Zsolt Lenkei*

*C. Llorens Cortes*